

# Semi-classical effective equations for isotropic cosmology

Suddhasattwa Brahma

Institute for Gravitation and the Cosmos  
Pennsylvania State University

Work with Martin Bojowald and Elliot Nelson  
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# Table of contents

- 1 Introduction
- 2 Modified Gravity
  - Quadratic Gravity
  - Motivation
- 3 New method for effective equations
  - The new variables
  - The Poisson Bracket
  - The Equations of Motion
- 4 Anharmonic Oscillator Formalism
  - The Effective Quantum Hamiltonian
  - The Equations of Motion
  - Solving the Equations of Motion
  - Solutions
- 5 Application to Cosmology
  - The Hamiltonian
  - Numerical Solutions for the scale factor
  - Analysis
- 6 Conclusion

# Semi-classical Effective Equations

- We do **not** require the exact structure of inner products on the Hilbert space.
- Solve for the moments directly, which are the useful quantities for semi-classical evolution.
- For isotropic and homogeneous cosmology, nature of quantum corrections may be realised, without the technical difficulties of quantizing gravity.
- There is a natural way to recover the classical behaviour known from General Relativity.
- **Systematic** way to get higher time derivatives in the equations of motion for a canonically quantized system.

# Higher Curvature Actions

Quadratic Gravity Action given by

$$S = \frac{1}{16\pi G} \int_M d^4x \sqrt{-g} [R + \alpha R^2 + \beta R^{\mu\nu} R_{\mu\nu} - 2\Lambda] \quad (2.1)$$

Closed, FLRW metric is given by

$$ds^2 = -dt^2 + a(t)^2 \left( \frac{dr^2}{1-r^2} + r^2 d\Omega^2 \right) \quad (2.2)$$

The equation of state for radiation is given by

$$p = \frac{1}{3}\rho \quad (2.3)$$

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# Equation of Motion

The equation of motion is

$$\begin{aligned}
 & 6A + 6H^2 + 6C - 4\Lambda + \\
 & 18\alpha \left[ 2\frac{\ddot{a}}{a} + 8\frac{\ddot{a}}{a}H + 4H^4 + 20H^2C - 8H^2A + 2A^2 - C^2 - 4AC \right] + \\
 & \frac{3}{2}\beta \left[ -2\frac{\ddot{a}}{a} + 8\frac{\ddot{a}}{a}H - 12H^4 - 184H^2C + 12H^2A + 10A^2 - 48C^2 - 16AC \right] \\
 & = 0 \tag{2.4}
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where  $A = \ddot{a}/a$ ,  $H = \dot{a}/a$  and  $C = 1/a^2$ .

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# What does this tell us?

- This equation of motion has higher time derivatives of the scale factor in it than in the classical case  
⇒ Require a systematic way to get higher time derivatives in the quantized theory.
- The semi-classical theory should avoid the usual technical difficulties of quantization like non-unique self-adjoint extensions and structure of inner products on the Hilbert space.

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# The New Variables

[Martin Bojowald and Aureliano Skirzewski, 2006]

- Define expectation values, with respect to some state, as:

$$\tilde{G}^{a,n} := \langle (\hat{p} - \langle \hat{p} \rangle)^a (\hat{q} - \langle \hat{q} \rangle)^{n-a} \rangle_{\text{Weyl}} \quad (3.1)$$

- Begin with a Hamiltonian operator:  $\hat{H} = \hat{H}(\hat{q}, \hat{p})$   
 Take its expectation value with respect to the same state to define an 'effective' Quantum Hamiltonian

$$\begin{aligned} H_Q := \langle \hat{H} \rangle &= \left\langle \hat{H}(\langle \hat{q} \rangle + (\hat{q} - \langle \hat{q} \rangle), \langle \hat{p} \rangle + (\hat{p} - \langle \hat{p} \rangle)) \right\rangle \\ &= \sum_{n=0}^{\infty} \sum_{a=0}^n \frac{1}{n!} \binom{n}{a} \frac{\partial^n H(q, p)}{\partial p^a \partial q^{n-a}} \tilde{G}^{a,n} \end{aligned} \quad (3.2)$$

- A point in this infinite dimensional space is completely specified by  $(\langle \hat{q} \rangle, \langle \hat{p} \rangle, \tilde{G}^{a,n})$

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# The Poisson Bracket

- Define Poisson Bracket as:

$$\{ \langle \hat{F} \rangle, \langle \hat{K} \rangle \} = \frac{1}{i\hbar} \langle [\hat{F}, \hat{K}] \rangle \quad (3.3)$$

- Using (3.3), we have:

$$\begin{aligned} \{ \langle \hat{q} \rangle, \langle \hat{p} \rangle \} &= 1 \\ \{ \langle \hat{q} \rangle, \langle \hat{q} \rangle \} &= 0 = \{ \langle \hat{p} \rangle, \langle \hat{p} \rangle \} \\ \{ \langle \hat{p} \rangle, \tilde{G}^{a,n} \} &= 0 = \{ \langle \hat{q} \rangle, \tilde{G}^{a,n} \} \end{aligned}$$

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where

$$K[a, b, m, n, r] = \sum_{0 < f < 2r+1} (-1)^{r+f} (f!(2r+1-f))^{-1} \binom{a}{f} \binom{n-a}{2r+1-f} \binom{b}{f} \binom{m-b}{2r+1-f}.$$



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## The Equations of Motion

Let  $x := \langle \hat{x} \rangle$  and  $p := \langle \hat{p} \rangle$ .

The Hamilton's equations of motion gives us

$$\dot{x} = \{x, H_Q\} \quad (3.5)$$

$$\dot{p} = \{p, H_Q\} \quad (3.6)$$

$$\dot{\tilde{G}}^{a,n} = \{\tilde{G}^{a,n}, H_Q\} \quad (3.7)$$

Instead of solving the Schrödinger's partial differential equation, we have to solve this **infinite set of coupled ordinary differential equations**.

- The validity of the solutions to these equations of motion are subject to certain '**Uncertainty Relations**', imposed on the moments.

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# The Effective Quantum Hamiltonian

The Hamiltonian for an oscillator with a perturbation term is

$$\hat{H} = \frac{1}{2m}\hat{p}^2 + \frac{1}{2}m\omega^2\hat{q}^2 + \hat{U}(\hat{q})$$

The corresponding 'effective' Quantum Hamiltonian is

$$H_Q = \frac{1}{2m}p^2 + \frac{1}{2}m\omega^2q^2 + U(q) + \frac{\hbar\omega}{2}(G^{0,2} + G^{2,2}) + \sum_n \frac{1}{n!}(\hbar/m\omega)^{n/2}U^{(n)}(q)G^{0,n} \quad (4.1)$$

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We need to make two approximations:

- Moments need to be solved **perturbatively** in  $(\frac{\hbar}{L})^{1/2}$ . Here  $L$  is some angular momentum scale provided by the perturbing potential.
- Need to make an **adiabatic approximation** for the moments where we assume they are slowly varying with time but the evolution of  $q$  and  $p$  are free. Derivatives with respect to time in equations of motion are rescaled as  $\frac{d}{dt} \rightarrow \lambda \frac{d}{dt}$ . In the end, we shall set  $\lambda = 1$

Thus, we can expand the moments as

$$G^{a,n} = \sum_e \sum_i G_{e,i}^{a,n} \left(\frac{\hbar}{L}\right)^{e/2} \lambda^i \quad (4.3)$$

At a given order in  $\sqrt{\frac{\hbar}{L}}$ , denoted by the index  $e$ , the adiabatic approximation gives

$$0 = \{G_{e,0}^{a,n}, H_Q\} \quad (4.4)$$

to leading order, and

$$\dot{G}_{e,i}^{a,n} = \{G_{e,i+1}^{a,n}, H_Q\} \quad (4.5)$$

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## General Procedure

- Get equations for each order in the semi-classical and adiabatic expansions for the moments.
- Break up **non-linear** terms as (for the first adiabatic order):

$$G^{0,2} G^{a-1,n-1} = G_{0,0}^{0,2} G_{0,1}^{a-1,n-1} + G_{0,1}^{0,2} G_{0,0}^{a-1,n-1}$$

- Each of these equations will have four solutions given by the choices of **odd or even**  $a$  and  $n$ .
- Some of these equations are subject to **constraints** coming from the next order equation in  $\lambda$ .
- Solve for the moments order by order, in both  $(\frac{\hbar}{L})^{1/2}$  and  $\lambda$ .
- Finally, plug the solutions for the moments (up to some finite order) in the equation of motion for  $q$  for a **semi-classical trajectory** for  $q$ .

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## General Procedure

- Get equations for each order in the semi-classical and adiabatic expansions for the moments.
- Break up **non-linear** terms as (for the first adiabatic order):

$$G^{0,2} G^{a-1,n-1} = G_{0,0}^{0,2} G_{0,1}^{a-1,n-1} + G_{0,1}^{0,2} G_{0,0}^{a-1,n-1}$$

- Each of these equations will have four solutions given by the choices of **odd or even**  $a$  and  $n$ .
- Some of these equations are subject to **constraints** coming from the next order equation in  $\lambda$ .
- Solve for the moments order by order, in both  $(\frac{\hbar}{L})^{1/2}$  and  $\lambda$ .
- Finally, plug the solutions for the moments (up to some finite order) in the equation of motion for  $q$  for a **semi-classical trajectory** for  $q$ .

$O(\hbar^0, \lambda^0)$

The equation is:

$$0 = -a\omega G_{0,0}^{a-1,n} + (n-a)\omega G_{0,0}^{a+1,n} - \frac{U''(q)a}{m\omega} G_{0,0}^{a-1,n} \quad (4.6)$$

subject to the constraint (coming from the first order equation) :

$$\frac{1}{\omega} \sum_{a \in \text{even}} \binom{n/2}{a/2} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{(n-a)/2} \dot{G}_0^{a,n} = 0 \quad (4.7)$$

which gives the solution

$$G_{0,0}^{a,n} = \frac{(n-a)!a!}{2^n((n-a)/2)!(a/2)!} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{(2a-n)/4} \quad (4.8)$$

for even  $a$  and  $n$ , and  $G_{0,0}^{a,n} = 0$  for odd  $a$  and/or  $n$ .

- The numerical constant chosen here is such that our expectation values are about the ground state of the harmonic oscillator.

$O(\hbar^0, \lambda^1)$

The solutions are:

$$G_{0,1}^{a,n} = 0 \quad \text{for odd } n$$

$$G_{0,1}^{a,n} = 0 \quad \text{for even } a \text{ and } n \text{ (once again to match with the ground state)}$$

$$G_{0,1}^{a,n} = C_{a,n} \frac{U'''(q)\dot{q}}{m\omega^3} \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{\frac{2a-n-6}{4}} \quad \text{for odd } a \text{ and even } n$$

where  $C_{a,n}$  is a dimensionless prefactor given by:

$$C_{a-1,n} = -\frac{(n-a)(a-1)!}{2^{n+2} \left(\frac{n-a}{2}\right)! \left(\frac{a}{2}\right)!} (2a-n) - 2^{-n-2} \sum_{b=0}^{\frac{n-a-2}{2}} \left[ \prod_{c=0}^b \frac{n-(a+2c)}{a+2c} \right] \frac{(n-a')!(a'-1)!}{\left(\frac{n-a'}{2}\right)! \left(\frac{a'}{2}\right)!} (2a'-n)$$

for even  $a$ , where  $a' = a + 2(b+1)$ .

# $O(\hbar^1, \lambda^0)$

The solutions are:

$$G_{1,0}^{a,n} = 0 \text{ for odd } a$$

$$G_{1,0}^{a,n} = 0 \text{ for even } a \text{ and } n \text{ (vacuum state considerations)}$$

$$G_{1,0}^{a,n} = \sqrt{L} D_{a,n} \frac{U''''(q)}{m^{3/2} \omega^{5/2}} \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{\frac{2a-n-5}{4}} \text{ for even } a \text{ and odd } n$$

where

$$D_{a,n} = \begin{cases} \frac{(-1)^b \Gamma\left(\frac{n}{2}\right)}{12\pi(1-\frac{n}{2})^b} \left( (n-1)b! \sqrt{\pi} + (n-8b-1)\Gamma\left(b+\frac{1}{2}\right) \right) & \\ - \sum_{c=0}^{b-2} (-1)^c (n-8(b-c-1)-1)\Gamma\left(b-c-\frac{1}{2}\right) (-b)_{c+1} & \text{if } n \geq 5, b \geq 2 \\ \frac{n-1}{12\pi} \Gamma\left(\frac{n}{2}\right) \Gamma\left(\frac{1}{2}\right) & \text{if } n \geq 3, b = 0 \\ \frac{3n-11}{12\pi(n-2)} \Gamma\left(\frac{n}{2}\right) \Gamma\left(\frac{1}{2}\right) & \text{if } n \geq 3, b = 1 \end{cases}$$

is a dimensionless prefactor that depends on  $a$  and  $n$ . In the above expression,  $b = (n-a-1)/2$  and  $(x)_n = x(x+1)\dots(x+n-1)$  is the Pochhammer symbol.

Equation of motion for  $q$  is thus:

$$\ddot{q} = -\omega^2 q - U'(q)/m - \frac{\hbar}{2m^2\omega} U'''(q) \left[ \sum_{\lambda=0}^4 G_{0,\lambda}^{0,2} + \left(\frac{\hbar}{L}\right)^{1/2} \sum_{\lambda=0}^4 G_{1,\lambda}^{0,2} \right] \quad (4.9)$$

where the relevant moments are

$$G_{0,0}^{0,2} = \frac{1}{2} \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{-\frac{1}{2}}$$

$$G_{0,2}^{0,2} = \frac{U'''(q)\ddot{q} + U''''(q)\dot{q}^2}{16m\omega^4} \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{-\frac{5}{2}} - \frac{5(U''''(q)\dot{q})^2}{64m^2\omega^6} \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{-\frac{7}{2}}$$

$$\begin{aligned}
 G_{0,4}^{0,2} = & -\frac{U'''(q)\ddot{\ddot{q}} + 4U''''(q)\ddot{q}\dot{q} + 3U''''(q)\dot{q}^2 + 6U''''(q)\dot{q}^2\ddot{q} + U''''''(q)\dot{q}^4}{64m\omega^6} \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{-\frac{7}{2}} \\
 & + \left[ \frac{21(U''''(q)\dot{q}^2 + U''''(q)\ddot{q})^2}{256m^2\omega^8} + \frac{7(U''''(q)\dot{q})(U''''(q)\ddot{\ddot{q}} + 3U''''(q)\ddot{q}\dot{q} + U''''(q)\dot{q}^3)}{64m^2\omega^8} \right] \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{-\frac{9}{2}} \\
 & - \frac{231(U''''(q)\ddot{q} + U''''(q)\dot{q}^2)(U''''(q)\dot{q})^2}{512m^3\omega^{10}} \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{-\frac{11}{2}} \\
 & + \frac{1155(U''''(q)\dot{q})^4}{4096m^4\omega^{12}} \left( 1 + \frac{U''(q)}{m\omega^2} \right)^{-\frac{13}{2}}
 \end{aligned}$$

# Equation of motion for $q$ up to $\hbar^{3/2}$ and fourth adiabatic order

We may now rewrite the equation of motion as:

$$\ddot{q} = -\omega^2 q - U'(q)/m \quad (4.10)$$

$$- \frac{\hbar}{2m^2\omega} U'''(q) [f(q, \dot{q}) + f_1(q, \dot{q})\ddot{q} + f_2(q)\dot{q}^2 + f_3(q, \dot{q})\ddot{\dot{q}} + f_4(q)\ddot{\dot{q}}]$$

where

$$f(q, \dot{q}) = \frac{1}{2} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-1/2} + \frac{U''''(q)\dot{q}^2}{16m\omega^4} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-5/2} - \frac{5(U''''(q))^2\dot{q}^2}{64m^2\omega^6} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-7/2}$$

$$- \frac{U''''''(q)\dot{q}^4}{64m\omega^6} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-7/2} + \frac{21(U''''(q))^2\dot{q}^4}{256m^2\omega^8} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-9/2}$$

$$+ \frac{7U''''''(q)U'''(q)\dot{q}^4}{64m^2\omega^8} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-9/2} - \frac{231U''''(q)(U''''(q))^2\dot{q}^4}{512m^3\omega^{10}} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-11/2}$$

$$+ \frac{1155(U''''(q))^4\dot{q}^4}{4096m^4\omega^{12}} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-13/2} \quad (4.11)$$

$$f_1(q, \dot{q}) = \frac{U''''(q)}{16m\omega^4} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-5/2} - \frac{3U''''''(q)\dot{q}^2}{32m\omega^6} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-7/2} \\ + \frac{63U''''''(q)U''''(q)\dot{q}^2}{128m^2\omega^8} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-9/2} - \frac{231(U''''(q))^3\dot{q}^2}{512m^3\omega^{10}} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-11/2} \quad (4.12)$$

$$f_2(q) = -\frac{3U''''''(q)}{64m\omega^6} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-7/2} + \frac{21(U''''(q))^2}{256m^2\omega^8} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-9/2} \quad (4.13)$$

$$f_3(q, \dot{q}) = -\frac{U''''''(q)\dot{q}}{16m\omega^6} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-7/2} + \frac{7(U''''(q))^2\dot{q}}{64m^2\omega^8} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-9/2} \quad (4.14)$$

$$f_4(q) = -\frac{U''''(q)}{64m\omega^6} \left(1 + \frac{U''(q)}{m\omega^2}\right)^{-7/2} \quad (4.15)$$



## Isotropic and Homogeneous Cosmology

Starting with the Einstein-Hilbert action (with the FLRW metric), including a **cosmological constant** and **matter**, we can write the Friedmann Equation as (setting  $\frac{8\pi G}{3} = 1$ ):

$$\frac{1}{4} \frac{p_a^2}{a^4} + \frac{k}{a^2} - \frac{\Lambda}{3} = \rho \quad (5.1)$$

where  $a$  is the **scale factor**,  $\Lambda$  is the **cosmological constant**,  $\rho$  is the **energy density**,  $p_a = -\frac{2a\dot{a}}{N}$  is the **momentum canonically conjugate to  $a$**  and  $N$  is the usual **lapse function**.

For a closed universe ( $k = 1$ ) and the radiation-dominated era  $\rho = \frac{P_t}{3a^4}$ , we have a Hamiltonian which generates evolution with respect to some time co-ordinate  $t$ , related to the proper time  $\tau$  as  $t = \int_0^\tau a(\tau')^{-1} d\tau'$ , given by:

$$H = p_t = \frac{1}{4} p_a^2 + a^2 - \frac{\Lambda}{3} a^4 \quad (5.2)$$

So we have an **Anharmonic Oscillator Hamiltonian** with  $m = 2$ ,  $\omega = 1$  and  $U(a) = -\frac{\Lambda}{3} a^4$ .

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# Numerical Solutions for the scale factor

We choose  $\Lambda = 0.3$  and assume the ansatz to be of the form  
 $a(t) = a_0(t) + \hbar a_1(t) + \dots$

The solutions are:

- very sensitive to initial conditions, which are only specified for the classical solution. The quantum corrections are all assumed to have zero initial conditions,
- very sensitive to the strength of the perturbing potential, which should be close to the vacuum state of the harmonic oscillator,
- helpful in understanding where the quantum corrections might possibly become important.

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Figure: Solution for  $a_0$

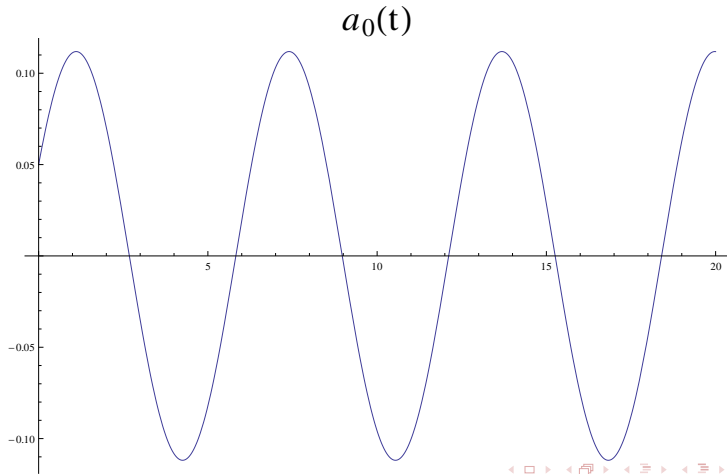


Figure: Solution for  $a_1$

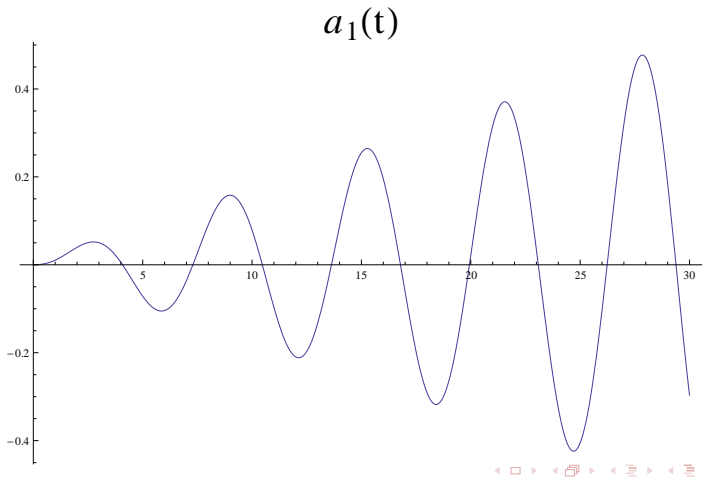
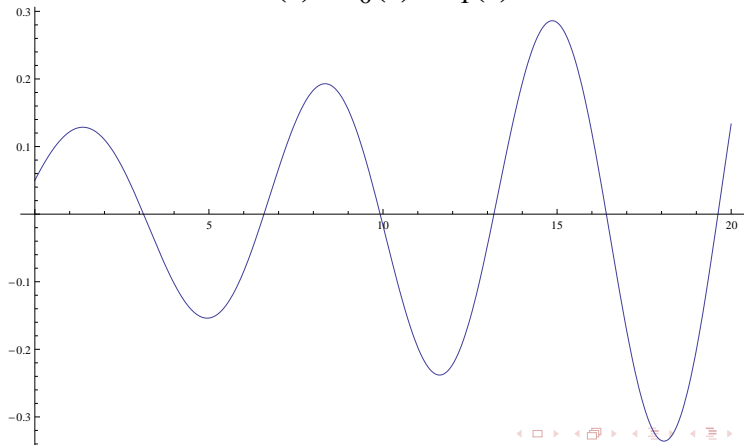




Figure: Solution for a

$$a(t) = a_0(t) + a_1(t)$$



## Results

- The **quantum corrections** to the scale factor **prevents** it from going back **to zero** where the classical solution did!!! Although the **quantum corrections** are small usually, they play a **significant** role when the **classical solution goes to zero**. This result indicates that the scale factor may be saved (or, at least, delayed) from going back to the singular point in the presence of quantum corrections.
- The acceleration from the classical solution is negative for the first half cycle (as expected during the radiation-dominated era). However the acceleration for the overall scale factor (including quantum corrections) turns positive at some points in this period. This also indicates that this **positive acceleration, coming from the quantum corrections, may drive the scale factor away from zero!!**

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## Important lessons and looking ahead

- The quantum back-reactions from the moments might be able to save the scale factor from space-like singularities even if the classical solution starts from zero.
- The behaviour of the scale factor from this analysis should, at least numerically, be similar to that from higher curvature actions (e.g. quadratic gravity).
- Apply similar methods to find 'effective equations' for quantum field theory. An important application of that would be understanding quantum corrections to the inflaton field.

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